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(54) Title: PRODUCTION OF POLYKETIDES IN PLANTS

(57) Abstract

The present invention provides genetically altered plants and plant cells that have been modified to contain expression system(s) capable of expressing a functional polyketide synthase (PKS). The present invention further provides methods of producing PKS and polyketides using these plants and cells.

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PRODUCTION OF POLYKETIDES IN PLANTS

Technical Field

The present invention is in the field of polyketide synthesis and the production
5 of transgenic plants. The present invention specifically provides methods for
preparing plant cells and plants that express one or more functional polyketide
synthases (PKS) and polyketides.

Background Art

10 Polyketides are a large, structurally diverse family of natural products.
Polyketides possess a broad range of biological activities including antibiotic and
pharmacological properties. For example, polyketides are represented by such
antibiotics as tetracyclines and erythromycin; anticancer agents including
daunomycin; immunosuppressants, for example FK506 and rapamycin; veterinary
15 products such as monensin and avermectin; and agriculturally used compounds such
as spinocyn (insecticidal) and soraphen (antifungal). Polyketides are especially
abundant in a class of mycelial bacteria, the actinomycetes.

Polyketide synthases (PKS) are multifunctional enzymes related to fatty acid
synthases (FASs). PKS catalyze the biosynthesis of polyketides through repeated
20 (decarboxylative) Claisen condensations between acylthioesters, usually acetyl,
propionyl, malonyl or methylmalonyl. Following each condensation, they introduce
structural variability into the product by catalyzing all, part, or none of a reductive
cycle comprising a ketoreduction, dehydration, and enoylreduction on the β -keto
group of the growing polyketide chain. After the carbon chain has grown to a length
25 characteristic of each specific product, it is released from the synthase by thiolysis or
acyltransfer. Thus, PKS consist of families of enzymes which work together to
produce a given polyketide. It is the controlled variation in chain length, choice of
chain-building units, and the reductive cycle, genetically programmed into each PKS,
that contributes to the variation seen among naturally occurring polyketides. The
30 polyketides resulting from the reactions catalyzed by the PKS often require further
modification, such as glycosylation, in order to provide antibiotic activity.

Three general classes of PKS exist. One class, known as Type I, "complex" or
"modular" PKS, is represented by the PKS for macrolides such as erythromycin. The

"modular" PKS are assemblies of several large multifunctional proteins carrying, between them, a set of separate active sites for each step of carbon chain assembly and modification (Cortes, J., *et al.*, *Nature* (1990) 348:176; Donadio, S., *et al.*, *Science* (1991) 252:675; MacNeil, D.J., *et al.*, *Gene* (1992) 115:119). Structural 5 diversity occurs in this class from variations in the number and type of active sites in the PKS. This class of PKS displays a one-to-one correlation between the number and clustering of active sites in the primary sequence of the PKS and the structure of the polyketide backbone. (See Figure 1.)

The second class of PKS, called Type II or "aromatic" PKS, is represented by 10 the synthases for aromatic compounds. The "aromatic" PKS are typically encoded by at least three separate open reading frames and have a single set of iteratively used active sites (Bibb, M.J., *et al.*, *EMBO J.* (1989) 8:2727; Sherman, D.H., *et al.*, *EMBO J.* (1989) 8:2717; Fernandez-Moreno, M.A., *et al.*, *J. Biol. Chem.* (1992) 267:19278). (See Figure 2.)

A third class of PKS is generally known as "fungal" PKS and is a 15 multifunctional protein encoded in a single reading frame. A typical "fungal" PKS is 6-methyl salicylic acid synthase (MSAS) characterized from *Penicillium patulum*. The gene has also been isolated from *Aspergillus nidulan* and from *Colletotrichum lagenarium* and a PKS having norsolorinic acid as a product from *A. nidulans*. Fujii, 20 I., *et al.*, *Mole Gen Genet* (1996) 253:1-10. The fungal PKS thus do not fit neatly into the categorization of aromatic versus modular and thus constitute a third group. (See Figure 3.)

Streptomyces is an actinomycete which is an abundant producer of aromatic 25 polyketides. In each *Streptomyces* aromatic PKS so far studied, carbon chain assembly requires the products of three open reading frames (ORFs). ORF1 encodes a ketosynthase (KS) and an acyltransferase (AT) active site; ORF2 encodes a protein similar to the ORF1 product but lacking the KS and AT motifs; and ORF3 encodes a discrete acyl carrier protein (ACP).

For example, *Streptomyces coelicolor* produces the blue-pigmented 30 polyketide, actinorhodin. The actinorhodin gene cluster (*act*), has been cloned. The cluster encodes the PKS enzymes described above, a cyclase and a series of tailoring enzymes involved in subsequent modification reactions leading to actinorhodin, as well as proteins involved in export of the antibiotic and at least one protein that

specifically activates transcription of the gene cluster. Other genes required for global regulation of antibiotic biosynthesis, as well as for the supply of starter (acetyl CoA) and extender (malonyl CoA) units for polyketide biosynthesis, are located elsewhere in the genome. The *act* gene cluster from *S. coelicolor* has been used to produce 5 actinorhodin in *S. parvulus*. Malpartida, F., et al., *Nature* (1984) 309:462.

Bartel, et al., *J Bacteriol* (1990) 172:4816-4826, recombinantly produced aloesaponarin II using *S. galilaeus* transformed with an *S. coelicolor* *act* gene cluster consisting of four genetic loci, *actI*, *actIII*, *actIV* and *actVII*. Hybrid PKS, including the basic *act* gene set but with ACP genes derived from granaticin, oxytetracycline, 10 tetracenomycin and frenolicin PKS, have also been designed which are able to express functional synthases. Khosla, C., et al., *J Bacteriol* (1993) 175:2197-2204. Hopwood, D.A., et al., *Nature* (1985) 314:642-644, describes the production of hybrid polyketides, using recombinant techniques. Sherman, D.H., et al., *J. Bacteriol.* (1992) 174:6184-6190, reports the transformation of various *S. coelicolor* mutants, 15 lacking different components of the *act* PKS gene cluster, with the corresponding granaticin (*gra*) genes from *S. violaceoruber*, in trans.

Recombinant production of heterologous functional PKS -- i.e., a PKS which is capable of producing a polyketide -- has been achieved in *Streptomyces* and hybrid forms of aromatic PKS have been produced in these hosts as well. See, for example, 20 Khosla, C., et al., *J Bacteriol* (1993) 175:2194-2204; Hopwood, D.A., et al., *Nature* (1985) 314:642-644; Sherman, D.H., et al., *J Bacteriol* (1992) 174:6184-6190. In addition, recombinant production of modular PKS enzymes has been achieved in *Streptomyces* as described in PCT application WO95/08548. However, a single 25 vector which carried genes encoding PKS catalytic sites was transformed into *E. coli* by Roberts, G.A., et al., *Eur J Biochem* (1993) 214:305-311, but the PKS was not functional, presumably due to lack of pantetheinylation of the acyl carrier proteins.

Recombinant production of functional polyketide synthases in *Streptomyces* hosts was also described in PCT applications WO98/01546 and WO98/01571, both published 15 January 1998.

30 A large number of polyketide synthases have been cloned, including the PKS for the production of avermectin (U.S. Patent No. 5,252,474); spiramycin (U.S. Patent No. 5,098,837); and tylosin (European application publication no. 791,655 published 19 February 1997).

U.S. Patent No. 5,716,849 describes the recovery and sequencing of the nucleotide sequence encoding the PKS cluster for the production of soraphen. The disclosure, which is incorporated herein by reference, prophetically describes the expression of the soraphen PKS encoding nucleotide sequence in bacteria, yeast and plants. The disclosure indicates that the PKS proteins produced will be functional in synthesis of polyketides. However, actual expression and functionality were not demonstrated.

It is known that in order for the PKS cluster to be functional, the translated apo-PKS must be phosphopantetheinylated enzymatically to obtain holo-ACP synthase components. Carreras, C.W., *et al.*, *Biochemistry* (1997) 36:11757-11761. The conversion from apo-PKS to PKS containing holo-ACPs requires an appropriate phosphopantetheinyl transferase (PPT). It has been recognized that PPT enzymes are members of a superfamily, but they are not interchangeable. For example, PPT enzymes which are able to convert apo-fatty acid synthase enzyme clusters to the holo-form are not effective in thus converting typical PKS apoenzymes. Lambalot, R.H., *et al.*, *Chem and Biol* (1996) 3:923-936. The necessity for providing PPT activity in order to obtain functional PKS recombinantly in *E. coli* was described by Cox, R.J., *et al.*, *FEBS LETT* (1997) 405:267-272. In addition, PCT/US97/23014, the contents of which are incorporated herein by reference, demonstrates the recombinant production of a functional 6-MSAS in yeast using a fusion protein containing the 6-MSAS from *P. patulum* and the PPT enzyme (holo-ACP synthase) from *Bacillus subtilis*, specifically surfactant holo-ACP synthase (Sfp). Production of 6-MSA in *E. coli* modified to contain an expression system for 6-MSAS, along with an expression system for the Sfp gene was also demonstrated under specified conditions.

The present invention provides plants transformed to contain a single or multivector system for the production of PKS and the resultant polyketides. The use of plants for the expression and generation of functional PKS allows a suitable source for large scale production of PKS and polyketides; in addition, the polyketides produced may confer desirable properties on the plants producing them, such as insect resistance.

Disclosure of the Invention

The present invention provides methods for efficiently producing both new and known PKS and polyketides using recombinant technology and plant host/vector systems. In particular, the present invention relies on the use of plant host/vector systems to produce PKS which in turn catalyze the production of a variety of polyketides. Such polyketides are useful as: antibiotics; antitumor agents; immunosuppressants; for a wide variety of other pharmacological purposes; and for agricultural uses (such as an antifungal or insecticidal agent) either in suitable formulations or *in situ* in the plant in which they are produced.

In one embodiment, the invention provides genetically engineered plant cells, plants and plant parts modified to produce recombinant polyketide synthase (PKS) gene clusters. Specifically, the invention provides genetically engineered plant cells, plant parts and plants comprising:

- (a) a PKS gene cluster which encodes a PKS capable of catalyzing the synthesis of a polyketide; and
- (b) one or more control sequences operatively linked to the PKS gene cluster, whereby the genes in the gene cluster can be transcribed and translated in the genetically engineered plant cell, plant part or plant to produce a functional PKS.

In particularly preferred embodiments, the plant cells, plant parts or plant are further modified to contain phosphopantetheinyl transferases (PPT) enzymes that convert the acyl carrier protein (ACP) apoenzyme activities to holo-ACPs. These PPT enzymes may be obtained from various microbial sources as further described below.

The invention further provides methods for producing functional recombinant PKS and polyketides by culturing the plant, plant cells, etc., under conditions whereby the PKS gene clusters are expressed and functionally active; and, optionally, incubating said plant, plant cells, etc., under conditions whereby the PKS is functionally active and produces polyketides.

In a further embodiment, the invention provides methods for preparing a combinatorial polyketide library comprising:

- (a) providing a population of vectors wherein the vectors comprise a random assortment of polyketide synthase (PKS) genes, modules, active sites, or portions thereof and one or more control sequences operatively linked to said genes;

- (b) transforming a population of host plant cells or plants with said population of vectors;
- (c) culturing said population of host plant cells or plants under conditions whereby the genes in said gene cluster can be transcribed and translated, thereby producing a combinatorial library of PKS and resultant polyketides.

5 The invention further provides recombinant materials for the production of PKS enzymes in plant hosts and the resultant polyketides produced in the plant hosts.

Brief Description of the Drawings

10 Figure 1 is a diagram showing the organization of erythromycin PKS as typical of a modular PKS.

Figure 2 is a diagram showing the composition of several typical aromatic PKS.

Figure 3 is a diagram of the organization of the fungal 6-MSAS.

15

Modes of Carrying Out the Invention

U.S. Patent No. 5,712,146, incorporated herein by reference, describes various recombinant expression systems for both modular and aromatic PKS. In addition, PCT/US97/23014, cited above and incorporated herein by reference, describes multivector systems for various PKS useful in production of functional PKS in *E. coli* and yeast as well as suitable expression systems for PPT enzyme activities functional in converting the apo-PKS enzymes produced to functionality by providing holo-ACP. It has now been found that these recombinant production systems can be used in plant hosts.

25 The present invention provides for the production of significant quantities of PKS and polyketides constitutively, or at an appropriate stage of the growth cycle of the plant host cell or plant. The polyketides so produced can be used as therapeutic agents to treat a number of disorders, depending on the type of polyketide in question. For example, several of the polyketides produced by the present method will find use 30 as immunosuppressants, as anti-tumor agents, for the treatment of viral, bacterial and parasitic infections as well as in agricultural setting, such as on insecticidal or antifungal agent. The ability to recombinantly produce polyketides in plant cells also

provides a powerful tool for characterizing PKS and the mechanism of their actions, as well as providing useful characteristics to the plant host.

PKS Expression Systems in General

5 U.S. Patent No. 5,712,146 cited above provides detailed descriptions of various known PKS expression systems and how such systems can be genetically manipulated using recombinant DNA techniques. These teachings can be applied in the present invention, with the alterations noted below, to generate recombinant plant 10 cells and plants that express one or more PKS. A brief, but non-limiting summary, of aromatic, modular and fungal PKS systems is shown in Figures 1, 2 and 3, respectively and discussed below.

Aromatic PKS systems are characterized by the iterative use of the catalytic sites on the enzymes produced. In aromatic PKS systems, the enzymes of the minimal PKS are encoded in three open reading frames (ORFs). As shown in Figure 15 1, the actinorhodin PKS is encoded in six separate ORFs. For the minimal PKS, one ORF contains a ketosynthase (KS) and an acyltransferase (AT); a second ORF contains a chain-length factor (CLF); and a third reading frame encodes an acyl carrier protein (ACP). Additional ORFs encode an aromatase (ARO), a cyclase (CYC), and a ketoreductase (KR). The combination of a KS/AT, ACP, and CLF 20 constitutes a minimal PKS, since these elements are necessary for a single condensation of a two-carbon unit. On the other hand, the grs PKS contains five separate ORFs wherein the KS/AT, CLF, and ACP are on three ORFs, the KR is on a fourth, and the ARO is on a fifth.

On the other hand, in the modular PKS systems, each catalytic site is used 25 only once and the entire PKS is encoded as a series of "modules." A minimal module contains at least a KS, an AT and an ACP. Optional additional activities include KR, DH, an enoylreductase (ER) and a thioesterase (TE) activity as shown in Figure 2.

The "fungal" PKS encoding 6-methyl salicylic acid synthase (6-MSAS) is in a sense similar to the aromatic PKS, although it has only one reading frame for all its 30 activities (KS/AT, a dehydratase (DH), KR and ACP) since the sites are used iteratively, as shown in Figure 3.

The present invention can be used to generate expression systems for the catalytic activities involved in the aromatic, modular and fungal PKS systems in plant cells, parts and whole plants.

For example, the PKS subunits of interest can be obtained from an organism
5 that expresses the same, using recombinant methods, such as by screening cDNA or genomic libraries, derived from cells expressing the gene, or by deriving the gene from a vector known to include the same. The gene can then be isolated and combined with other desired PKS subunits, using standard techniques. If the gene in question is already present in a suitable expression vector, it can be combined *in situ*,
10 with, e.g., other PKS subunits, as desired. The gene of interest can also be produced synthetically, rather than cloned. The nucleotide sequence can be designed with the appropriate codons for the particular amino acid sequence desired. In general, one will select preferred codons for the intended plant host in which the sequence will be expressed. The complete sequence is assembled from overlapping oligonucleotides
15 prepared by standard methods and assembled into a complete coding sequence for subsequent transfer into a plant host cell. See, e.g., Edge (1981) *Nature* 292:756; Nambair, *et al.*, (1984) *Science* 223:1299; Jay, *et al.*, (1984) *J Biol Chem* 259:6311.

Further, the PKS proteins produced may contain the amino acid sequences and thus the substrate specificities and activities of naturally occurring forms, or altered
20 forms of these proteins may be used so long as the desired catalytic activity is maintained. As described in U.S. Patent No. 5,712,146, mutations can be made to the native PKS subunit sequences and such mutants used in place of the native sequence, so long as the mutants are able to function with other PKS subunits to collectively catalyze the synthesis of an identifiable polyketide. Such mutations can be made to
25 the native sequences using conventional techniques such as by preparing synthetic oligonucleotides including the mutations and inserting the mutated sequence into the gene encoding a PKS subunit using restriction endonuclease digestion. (See, e.g., Kunkel, T.A. *Proc Natl Acad Sci USA* (1985) 82:448; Geisselsoder, *et al.*, *BioTechniques* (1987) 5:786.)

30 Alternatively, the mutations can be effected using a mismatched primer (generally 10-20 nucleotides in length) which hybridizes to the native nucleotide sequence (generally cDNA corresponding to the RNA sequence), at a temperature below the melting temperature of the mismatched duplex. The primer can be made

specific by keeping primer length and base composition within relatively narrow limits and by keeping the mutant base centrally located (Zoller, *et al.*, *Methods Enzymol* (1983) 100:468). Primer extension is effected using DNA polymerase, the product cloned and clones containing the mutated DNA, derived by segregation of the 5 primer extended strand, selected. Selection can be accomplished using the mutant primer as a hybridization probe. The technique is also applicable for generating multiple point mutations. See, e.g., Dalbie-McFarland, *et al.*, *Proc Natl Acad Sci USA* (1982) 79:6409. PCR mutagenesis will also find use for effecting the desired mutations.

10 U.S. Patent No. 5,712,146 further describes the construction of single and multiple vector hybrid aromatic or modular PKS systems for expression in filamentous fungi, where, for example, open reading frames of actinorhodin are included in expression vectors with open reading frames from alternative aromatic systems. U.S. Serial No. 09/073,532 filed 6 May 1998 and incorporated herein by reference describes further permutations, specifically in a modular PKS cluster, whereby a multiplicity of both known and novel polyketides can be produced in recombinant host cells. The present invention, and the Examples provided herein, extends these inventions by demonstrating the suitability of plant cell hosts and plants, to serve as a PKS expression host for the production of functional PKS and the 15 resultant polyketides.

20 In addition to activities present in the PKS cluster, posttranslational modifications to obtain functional PKS are also required. In particular, it is essential that the ACP function be provided with a phosphopantetheinyl moiety. The holo-ACP synthases described above are necessary for this activation. In a preferred 25 embodiment of the present invention, holo-ACP synthases are provided to the plant cells, plant parts or whole plants using recombinant expression systems as well.

Thus, a preferred mode of practicing the present invention is to express a heterologous holo-ACP synthase enzyme along with a PKS enzyme to convert the apo-PKS into a holo-PKS with activity *in vivo*. As has been described by Kealey *et* 30 *al.*, *Proc Natl Acad Sci USA* (1998) 95:505-509, incorporated herein by reference, little or no polyketide product is produced by the 6-MSAS of *P. patulum* in yeast hosts unless a heterologous holo-ACP synthase is provided. This function can be provided by introduction of a separate vector expressing the holo-ACP synthase, by

introduction of a single vector expressing both the holo-ACP synthase and the PKS, or by the introduction of a single gene that encodes a fusion protein between the holo-ACP synthase and the PKS.

One preferred mode of carrying out the present invention is the use of the 5 enzyme Sfp from *Bacillus subtilis*, a phosphopantetheinyl transferase (PPTase) that is required for the production of surfactin. A recombinant Sfp gene can be incorporated either in a vector separate from that comprising the PKS gene(s), or in the same vector that comprises that PKS gene(s). Further, the coding sequence for the Sfp protein may be fused to a PKS coding sequence so that both PKS and Sfp functions are part 10 of a single chimeric protein.

Other PPTases of use with the present invention are EntD of *E. coli* required for enterobactin synthesis (Gehring, *et al.*, *Biochem* (1997) 36:8495-8503) and Gsp of *Bacillus brevis* required for gramicidin synthesis (Borchert, *et al.*, *J Bacteriol* (1994) 176:2458-2462). Individual PPTases may be sufficient to posttranslationally modify 15 multiple PKS, other PPTases may have limited substrate specificity, so that particular PPTases may be required for an individual PKS. In *E. coli* at least three different PPTases have been identified. The entD-encoded PPTase is specific for enterobactin synthase, whereas ACPS is specific for fatty acid synthase (Lambalot, *et al.*, *supra*).

The PKS expression system used in the present method can be present on a 20 single vector, or can be provided on multiple vectors. When using multiple vectors, the vectors can combine in an altered cell to form a functional PKS expression system or can be made so as to individually express each element of the PKS system to provide each of the enzymatic activities needed for polyketide synthesis. Both of these approaches are described in U.S. Patent No. 5,712,146 and PCT application 25 US97/23014. In a single vector system, a single vector contains all the enzymatic elements of the PKS. In a multiple vector system, the catalytic activities of the PKS expression system are separated onto different vectors, which, after introduction into a plant host cell, can either recombine to form a single PKS expression system or can be expressed using separate expression control elements to form the PKS. While a 30 single vector approach is more efficient at producing functionally active PKS, the multiple vector approach has the advantage of being able to be used to generate a library of PKS, each one having a different enzymatic activity. Where multiple vectors are used, it is possible, in some instances, to provide vectors for various

portions of the cluster in different plants which can then be cross-bred to obtain a complete desired expression system. Further, components of the cluster, and indeed, posttranslational enzymes may be encoded in a single reading frame to obtain a fusion protein. Fusions providing a modular PKS cluster as well as a holo-ACP synthase are 5 described in the above-referenced PCT application.

In constructing vectors for production of aromatic PKS systems, the separate reading frames, such as those shown in Figure 2, may be incorporated on a single vector or, if properly constructed, each of the reading frames can be distributed among more than one vector, each with appropriate sequences for effecting control of 10 expression in a plant host cell or recombination amongst the reading frames. For modular systems a single module or more than one module may reside as a part of an expression system on a single vector or multiple vectors can be used to modify the plant cell to contain the entire desired PKS system.

In addition to the PKS encoding sequences, it is preferred to introduce other 15 protein expression systems into the plant host to effect pantetheinylation. As stated above, the expression system for the appropriate PPT enzyme may be on the same or different vector(s) as the vector(s) which carry the PKS cluster expression system or the PPT enzyme may be produced as a fusion protein with part or all of a PKS.

For expression in plants, specifically, the PKS and posttranslational processing 20 enzyme expression systems may be modified to utilize codons preferred in plants, to eliminate cryptic splice sites, to alter the GC/AT content and the like. Suitable modifications to nucleotide sequences that do not result in changes in the amino acid sequence encoded are understood in the art.

Additional enzymes such as glycosylation enzymes that effect post 25 translational modifications to the polyketides may also be introduced into the plant host through suitable recombinant expression systems.

In one illustrative embodiment three separate vectors are employed to produce a modular PKS. Each vector permits the construction of 64 different open reading frames using two extender ATs (one from methylmalonyl CoA and the other from 30 malonyl CoA) and the four combinations involving KR, DH, and ER as described above. Thus, module No. 1 may employ malonyl CoA as an extender unit; module No. 2 methylmalonyl CoA; the opposite sequence can be used, or both extenders might use malonyl CoA or both might use methylmalonyl CoA. This results in four

separate types of extender combinations, each of which is multiplied by the four KR/DH/ER variants. Each separate plasmid offers the same set of possibilities; one of the plasmids must also contain a loading function and one must contain a thioesterase function. Thus, by construction of 192 plasmids, the upper limit of 5 synthesis of novel polyketides is 64x64x64 or 262,144 molecules, providing an efficient method to obtain large numbers of novel polyketides.

Expression Units to Express Exogenous DNA in a Plant

As provided above, the present invention employs expression units (or 10 expression vectors, systems or modules) to express an exogenously supplied PKS encoding DNA molecule in a plant cell or a plant regenerated therefrom. Methods for generating expression units/systems/vectors for use in plants are well known in the art and can readily be adapted for use in expressing PKS encoding sequences. Typically, as described above, such units employ a PKS encoding module and one or more 15 expression control elements. The choice of the control elements employed will be based on the plant that is to be altered, the level of control over expression that is desired and the transformation system used. A skilled artisan can readily use any appropriate plant/vector/expression system in the present methods following art known methods and the outline provided herein. In the Examples, the construction of 20 several suitable plant expression vectors are described.

The expression control elements used to regulate the expression of a PKS coding sequence will typically be a heterologous expression control element, one that is not normally associated with the PKS encoding sequence. However, homologous control elements, ones that are normally associated with the PKS encoding sequence, 25 can be used if active in the chosen plant host. A variety of heterologous expression control elements are known in the art and can readily be used to make expression units for use in the present invention. Transcription initiation regions, for example, can include any of the various opine initiation regions, such as octopine, mannopine, nopaline and the like that are found in the Ti plasmids of *Agrobacterium tumefaciens*. 30 Alternatively, plant viral promoters can also be used, such as the cauliflower mosaic virus 35S promoter, to control gene expression in a plant. Lastly, plant promoters such as *prolifera* promoter, fruit-specific promoters, *Ap3* promoter, heat shock promoters, seed-specific promoters, etc., can also be used. The most preferred

promoters will be active in stages of plant cell growth or development so that PKS production is specific for a tissue or plant organ, for example in leaf tissue, seeds or fruiting bodies.

Either a constitutive promoter (such as the CaMV or Nos promoters), an 5 organ-specific promoter (such as the E8 promoter from tomato) or an inducible promoter is typically ligated to the PKS coding region using standard techniques known in the art. The expression unit may be further optimized by employing supplemental elements such as transcription terminators and/or enhancer elements.

Thus, for expression in plants, the expression units will typically contain, in 10 addition to the PKS coding sequence, a plant promoter region, a transcription initiation site and a transcription termination sequence. As shown in the Examples, unique restriction enzyme sites at the 5' and 3' ends of the expression unit are typically included to allow for easy insertion into a preexisting vector.

In the construction of heterologous promoter driven expression system, the 15 promoter is preferably positioned about the same distance from the heterologous transcription start site as it is from the transcription start site in its natural setting. As is known in the art, however, some variation in this distance can be accommodated without loss of promoter function.

In addition to a promoter sequence, the expression system/unit can also 20 contain a transcription termination region downstream of the structural gene to provide for efficient termination. The termination region may be obtained from the same gene as the promoter sequence or may be obtained from different genes. If the mRNA encoded by the PKS encoding sequence is to be efficiently processed, DNA sequences that direct polyadenylation of the RNA are also commonly added to the 25 vector construct. Polyadenylation sequences include, but are not limited to, the *Agrobacterium* octopine synthase signal (Gielen *et al.*, *EMBO J* 3:835-846 (1984)) or the nopaline synthase signal (Depicker *et al.*, *Mol and Appl Genet* 1:561-573 (1982)).

The resulting expression unit is ligated into or otherwise constructed to be 30 included in a vector which is appropriate for higher plant transformation. The vector will also typically contain a selectable marker gene by which transformed plant cells can be identified in culture. Usually, the marker gene will encode antibiotic resistance. These markers include resistance to G418, hygromycin, bleomycin, kanamycin, and gentamicin. After transforming plant cells, those cells having the

vector will be identified by their ability to grow on a medium containing the particular antibiotic. Replication sequences, of bacterial or viral origin, are generally also included to allow the vector to be cloned in a bacterial or phage host, preferably a broad host range prokaryotic origin of replication is included. A selectable marker for bacteria should also be included to allow selection of bacterial cells bearing the desired construct. Suitable prokaryotic selectable markers also include resistance to antibiotics such as kanamycin or tetracycline.

Other DNA sequences encoding additional functions may also be present in the vector, as is known in the art. For instance, in the case of *Agrobacterium* transformations, T-DNA sequences will also be included for subsequent transfer to plant chromosomes.

In a particularly preferred embodiment of the invention, the plant cell or plant can be made self-screening by introducing a polyketide-responsive receptor that is intracellular to or is displayed at the surface of the plant host cell producing the polyketide itself. This "autocrine" system allows the colonies to self-select for those activating the receptor. Such systems are described, for example, in an article by Broach, J.R., *et al.*, *Nature* (1996) 384:Supp.7:14-16.

Autocrine systems need not be limited, however, to receptors, but can include proteins that are expressed internal to the transformed plant cell and whose interaction can be evaluated with respect to the polyketides produced, in a manner analogous to the yeast 2 hybrid system described by Fields in U.S. Patent 5,283,173.

Thus, the plant cells can be modified to create "plant cell-based detection systems for polyketide function." The function of the polyketide may include agonist or antagonist activity with respect to a receptor which is either produced at the surface of the cell or produced intracellularly, or the polyketides may be agonists or antagonists for two hybrid interaction screens so that it will be possible to select for protein-protein interaction inhibitors or cross-linking factors analogous to rapamycin and FK506.

30 Compartmentalization of enzymatic activities

Polyketide synthases can utilize a range of different acylCoA precursors in the synthesis of a polyketide product. These include acetylCoA, malonylCoA, propionylCoA and methylmalonylCoA, which are used commonly in many

biosynthetic pathways. In addition, more specific acylCoA derivatives can be used either as initial precursor for the synthesis or as an extender precursor later during the synthetic cycle. The abundance of the commonly used precursors is not well understood in plants. However, the level of these precursors is expected to vary 5 between different cellular compartments. Polyketide product synthesis with any specific PKS consequently is expected to vary between the compartments. Any subcellular compartment that is a typical site for catabolic and anabolic functions could be used as the site for polyketide synthesis, including the cytosol, plastids, peroxisomes, mitochondria, etc.

10 Although any compartment may be used with any PKS, depending upon other functions that may be expressed along with the PKS, some compartments may be more preferred for syntheses with specific precursors. For example, for PKS-catalyzed reactions that require only acetylCoA and malonylCoA as precursors (e.g., fungal PKS), preferred compartments are the cytosol and the plastid, with the most 15 preferred compartments being the plastid. Plastids are the site of fatty acid synthesis, and as such are expected to contain the highest levels of acetylCoA and malonylCoA of any cellular compartment. Nawrath, *et al.*, *Proc Natl Acad Sci USA* (1994) 91:12760-12764 have reported 5- to 10-fold increased rates of heterologous synthesis 20 of polyhydroxybutyrates in plant cells using plastid-targeted enzyme activities rather than cytosolic activities. The only precursor required for the heterologous synthesis of polyhydroxybutyrates is acetylCoA.

The availability of propionylCoA and methylmalonylCoA in the different compartments is not presently known in plant cells. There has been one report that methylmalonylCoA exists in some plant cells (Schroder, J., *et al.*, *Biochemistry* 25 (1998) 37:8417-8425 incorporated herein by reference), based on its requirement for the synthesis of a C-methylated chalcone in *Pinus strobus*. PropionylCoA, which is produced during the catabolism of odd-chain-length fatty acids and certain amino acids, may be present in oxidative compartments such as the mitochondrion or the peroxisome. During some stages of plant development, these compartments are 30 expected to contain high levels of acetylCoA through fatty acid catabolism. As these compartments are not involved in biosynthesis, malonylCoA is not expected to be present at significant levels.

The targeting of gene products to specific subcellular compartments in eukaryotic cells, and particularly in plant cells, has been studied extensively. For example, United States patent numbers 5,728,925 and 5,717,084 (incorporated herein by reference) describe means by which proteins can be targeted to chloroplasts.

- 5 Generally chloroplast targeting can be achieved by the addition of a transit peptide to the N-terminus of a cytosolic protein. The range of different sequences, and general features of said sequences, that can function as transit peptides for the plastid are well known in the art.

Methods for targeting proteins to peroxisomes are also well known in the art, 10 and likewise utilize specific sequences of the protein synthesized in the cytosol. Peroxisomal matrix-targeted proteins can be directed into peroxisomes using either of two different types of targeting signals, either type 1 or type 2 PTS. The PTS1 is a noncleaved tripeptide motif residing at the extreme C-terminus exemplified by SKL (in single amino acid notation), with other tripeptides using the small-basic- 15 hydrophobic amino acid motif (see Gietl, C. *Physiol Plant* (1996) 97:599-608; Olsen, et al., *Ann Rev Plant Physiol and Plant Mol Biol* (1995) 46:123-46). The PTS2 is a nonapeptide of the form R-L/I/Q-X₅-H/Q-L, which is found in the N-terminal region of some peroxisomal matrix-destined proteins.

Methods for targeting proteins to mitochondria are also well known in the art. 20 Mitochondrial-targeted proteins are synthesized as cytosolic precursors with an N-terminal presequence. The presequence interacts with receptor proteins that initiate the process by which proteins are escorted across the outer membrane, and perhaps the inner membrane, and into various subcompartments of the mitochondrion. Sequences are also known in the art that function to target precursor polypeptides to 25 both mitochondria and chloroplasts (e.g., WO95/08633). In order to enter the mitochondrion or other compartments large proteins may require enhanced expression of additional functions for unfolding (e.g., chaperonins). For example, mhsp70 acts inside the mitochondrion to assist the movement of proteins across the outer mitochondrial membrane (Matouschek, et al., *EMBO J* (1997) 16:6727-6736). 30 Functions such as mitochondrial import-stimulating factor (MSF) have been implicated in the process of protein unfolding in the cytosol prior to association with mitochondrial protein import receptors (Komiya, et al., *EMBO J* (1997) 16:4267-4275).

Precursor production

Precursor availability may be limiting for the synthesis of certain polyketide products. To increase the production level of a specific polyketide product it may be 5 necessary to provide additional gene constructs that encode enzymes necessary for the increased synthesis of specific precursors. For example, to increase the amount of malonylCoA the enzyme acetylCoA carboxylase (ACCase), which catalyzes the conversion of acetylCoA directly into malonylCoA, can be expressed in a suitable compartment. ACCase in plants has also been reported to effect the conversion of 10 propionylCoA to methylmalonylCoA. The utility of ACCase activity will depend upon the availability of the appropriate substrates, acetylCoA and propionylCoA. When expressed at suitable levels in compartments (and tissues) in which acetylCoA is being generated, ACCase can provide for balanced amounts of acetylCoA and malonylCoA as substrates for suitable polyketides. Alternatively, when both 15 acetylCoA and propionylCoA are present, suitable expression of ACCase can provide for the synthesis of suitable amounts of acetylCoA, propionylCoA, malonylCoA and methylmalonylCoA.

To provide for the enhanced synthesis of methylmalonylCoA specifically, a propionylCoA carboxylase activity can be expressed in the desired compartment. An 20 enzyme with propionylCoA-specific carboxylase activity has been identified in *Streptomyces coelicolor* A3(2) (Branwell, *et al.*, *Microbiology* (1996) 142(Pt3):649-655), and one of the subunits of the heterodimeric enzyme has been cloned. Through suitable expression levels of the two different carboxylases, levels of precursors 25 suitable to a range of different polyketide biosynthetic pathways can be established in different compartments.

As plants do not generally utilize amino acids as an energy source, the level of propionylCoA may need to be enhanced for the synthesis of certain polyketides. Since propionylCoA results from the catabolism of certain amino acids, increased 30 synthesis of a specific amino acid that leads to an increase in the intracellular concentration of that amino acid may direct increased flux through the amino acid catabolic pathway. Since biosynthetic pathways are often under feedback regulation, disruption of the feedback regulatory site of a biosynthetic enzyme can be sufficient to provide for increased amino acid concentration intracellularly. Increased amino

acid concentration in plant cells has been demonstrated by mutating acetolactate synthase to relieve feedback inhibition.

Schroder, *et al.*, *Biochemistry* (1998) 37:8417-8425 have described a biosynthetic reaction in *Pinus strobus* that required methylmalonylCoA as a substrate, 5 and which apparently occurs in the cytosolic compartment. This reaction is part of the biosynthesis of a C-methylflavone. A few other plants have been shown to produce C-methyl flavones, derivatives of the chalcones that are produced by PKS unrelated to bacterial and fungal PKS. Based on structural analysis, it is inferred that methylmalonylCoA is a substrate in the synthesis of these different C-methyl 10 flavones. Clearly, certain plants can synthesize methylmalonylCoA. Based on methods known in the art, it is possible to identify the genes for the biosynthesis of methylmalonylCoA and introduce these into desired host plants to provide for the synthesis of methylmalonylCoA. It may be possible to obtain genes for the synthesis of propionylCoA from the C-methyl flavone producers as well.

15

Tissue type and development stage

During certain developmental stages or in specific tissue types plants utilize specialized metabolic functions. In certain cases substrate concentrations are enhanced to provide for the metabolic load. For example, during development and 20 maturation of oilseeds, very high rates of fatty acid synthesis are exhibited, accompanied by increased flux of acetylCoA and malonylCoA. Oilseeds may be desired target sites for polyketide synthesis when the goal is purification of a polyketide for pharmaceutical or agricultural use. PKS, enzymes required for posttranslational modification, and other enzymes required for polyketide synthesis 25 can be programmed for expression with suitable promoters that have specifically high activity in desired target tissues. Such tissue-and developmental stage-specific promoters are well known in the art.

30 A functional PKS in a plant subcellular compartment that has suitable levels of biosynthetic substrates can provide for the synthesis of a polyketide product. Most primary polyketide products are not biologically active. Generally, additional

Accessory functions

biosynthetic enzymes are required to convert the primary polyketide into a biologically active molecule.

A wide range of accessory functions has been described that are necessary to convert primary polyketides into biologically active molecules. These include
5 cyclization reactions, oxidation reactions, reduction reactions, methylation reactions (at oxygen or carbon atoms), decarboxylation reactions, dehydration reactions, desaturase reactions, and ligation reactions. The number of steps required ranges considerably; for example, at least 10 reactions are required to convert a primary polyketide product into the potent mycotoxin aflatoxin (Brown, *et al.*, *Proc Natl Acad Sci USA* (1996) 93:1418-1422).

As one example, milbemycins and avermectins are polyketides with acaricidal and nematicidal activity. These compounds are produced by *Streptomyces avermitilis* and *Streptomyces hygroscopicus* subsp. *aureolacrimosus* using modular PKS. The primary polyketide product for both milbemycins and avermectins requires 12
15 modules, located on four distinct proteins, with expected molecular masses of 450, 700, 610 and 540 kDa (Ikeda, H., *et al.*, *Chem Rev* (1997) 97(7):2591-2609). These modules differ only in the starter acylCoA specificity, and in the presence of a double bond at C22-C23 of avermectins. The principal differences between these classes of polyketide products is that milbemycin synthesis begins with a more common
20 acylCoA than does avermectin (acetylCoA or propionylCoA), and milbemycins lack the disaccharide moiety attached to the C13 hydroxyl of the avermectin aglycone. The synthesis of milbemycins requires additional functions to cyclize the furan ring, and for reduction of the C5 keto group. The synthesis of avermectins requires additional functions for the synthesis of the disaccharide and its attachment to the core
25 polyketide. All the genes necessary for the synthesis of milbemycin can be isolated from the producing organism and engineered for heterologous expression plant cells.

As a second example, strobilurin A is a fungal polyketide product, produced by certain basidiomycetes (e.g., *Strobilurus tenacellus*). Although the full biosynthetic pathway has not been elucidated, several additional enzymes are needed
30 in addition to the core PKS: one or two enzymes for the conversion of cinnamylCoA to benzoylCoA as the starter molecule, an enzyme for the rearrangement of the C1 COOH to C3, a C-methyltransferase and two O-methyltransferases.

The biosynthetic genes required for the synthesis of both bacterial and fungal polyketide products are generally clustered in the genomes of the producing organisms. This has been well documented for the polyketide products of the streptomycetes (Chatter, K., *et al.*, *EMBO J* (1985) 4:1893) as well as for the 5 polyketide products of filamentous fungi (e.g., aflatoxin, Brown, *et al.*, *op cit.*). Heterologous PKS probes have been used to identify genomic clones containing the PKS from any uncharacterized producer organism. As PKS clusters are fairly divergent in their nucleotide sequences, it will at times be of use to prepare homologous PKS probes through the polymerase chain reaction using degenerate 10 primers targeted to conserved regions of PKS genes. Large genomic clones (using lambda phage, cosmids, BACs, or other vectors known to practitioners of the art) will include accessory genes in addition to the PKS detected directly by hybridization.

Transformation of Plant Cells

15 When an appropriate vector is obtained, for example as described above, transgenic plants are prepared which contain the desired expression unit. As described in the Examples, one method of transformation relies on vacuum infiltration to introduce the PKS encoding DNA vector into cells comprising the seed formation organs in a plant (Bechtold, N., Ellis, J., and Pelletier, G. (1993) *C.R. Acad. Sci., Paris/Life Sciences* 316:1194-1199). In the vacuum infiltration method, whole plants 20 are contacted with a solution containing transformed *Agrobacterium* under vacuum. The plants are then allowed to further grow and develop to form seeds. The seeds are then collected and screen for the presence of the introduced vector. This method has the advantage of not needed to rely on somatic embryogenesis to regenerate plants 25 from cultured plant cells.

In another transformation method, the vector is microinjected directly into plant cells by use of micropipettes to mechanically transfer the recombinant DNA into the plant cell (Crossway, *Mol Gen Genetics* (1985) 202:179-185). In another method, the genetic material is transferred into the plant cell using polyethylene glycol (Krens, 30 *et al.*, *Nature* (1982) 296:72-74), or high velocity ballistic penetration by small particles with the nucleic acid either within the matrix of small beads or particles, or on the surface, is used (Klein, *et al.*, *Nature* (1987) 327:70-73). In still another method protoplasts are fused with other entities which contain the DNA whose

introduction is desired. These entities are minicells, cells, lysosomes or other fusible lipid-surfaced bodies (Fraley, *et al.*, *Proc Natl Acad Sci USA* (1982) 79:1859-1863).

DNA may also be introduced into the plant cells by electroporation (From *et al.*, *Proc Natl Acad Sci USA* (1985) 82:5824). In this technique, plant protoplasts are

5 electroporated in the presence of plasmids containing the expression vector.

Electrical impulses of high field strength reversibly permeabilize biomembranes allowing the introduction of the plasmids. Electroporated plant protoplasts reform the cell wall, divide, and regenerate.

For transformation mediated by bacterial infection, a plant cell is infected with

10 *Agrobacterium tumefaciens* or *A. rhizogenes* previously transformed with the DNA to be introduced. *Agrobacterium* is a representative genus of the gram-negative family *Rhizobiaceae*. Its species are responsible for crown gall (*A. tumefaciens*) and hairy root disease (*A. rhizogenes*). The plant cells in crown gall tumors and hairy roots are induced to produce amino acid derivatives known as opines, which are catabolized

15 only by the bacteria. The bacterial genes responsible for expression of opines are a convenient source of control elements for chimeric expression cassettes. In addition,

assaying for the presence of opines can be used to identify transformed tissue.

PKS encoding genetic sequences can be introduced into appropriate plant cells, by means of the Ti plasmid of *A. tumefaciens* or the Ri plasmid of

20 *A. rhizogenes*. The Ti or Ri plasmid is transmitted to plant cells on infection by

Agrobacterium and is stably integrated into the plant genome (Schell, J., *Science*

(1987) 237:1176-1183). Ti and Ri plasmids contain two regions essential for the

production of transformed cells. One of these, named transferred DNA (T-DNA), is

transferred to plant nuclei and induces tumor or root formation. The other, termed the

25 virulence (vir) region, is essential for the transfer of the T-DNA but is not itself

transferred. The T-DNA will be transferred into a plant cell even if the vir region is

on a different plasmid (Hoekema, *et al.*, *Nature* (1983) 303:179-189). The transferred

DNA region can be increased in size by the insertion of heterologous DNA without its

ability to be transferred being affected. Thus a modified Ti or Ri plasmid, in which

30 the disease-causing genes have been deleted, can be used as a vector for the transfer

of the gene constructs of this invention into an appropriate plant cell.

Construction of recombinant Ti and Ri plasmids is well known in the art and, in general, follows methods typically used with the more common bacterial vectors,

such as pBR322 (for example see Koncz, C., and Schell, J. (1986), Molecular and General Genetics 204: 383-396). Additional use can be made of accessory genetic elements sometimes found with the native plasmids and sometimes constructed from foreign sequences. These may include but are not limited to "shuttle vectors,"
5 (Ruvkum and Ausubel, *Nature* (1981) 298:85-88), promoters (Lawton *et al.*, *Plant Mol Biol* (1987) 9:315-324) and structural genes for antibiotic resistance as a selection factor (Fraley *et al.*, *Proc Natl Acad Sci* (1983) 80:4803-4807).

There are two classes of recombinant Ti and Ri plasmid vector systems now in use. In one class, called "cointegrate," the shuttle vector containing the gene of interest is inserted by genetic recombination into a non-oncogenic Ti plasmid that contains both the cis-acting and trans-acting elements required for plant transformation as, for example, in the pMLJ1 shuttle vector of DeBlock *et al.*, *EMBO J* (1984) 3:1681-1689 and the non-oncogenic Ti plasmid pGV3850 described by Zambryski *et al.*, *EMBO J* (1983) 2:2143-2150. In the second class or "binary"
10 system, the gene of interest is inserted into a shuttle vector containing the cis-acting elements required for plant transformation. The other necessary functions are provided in trans by the non-oncogenic Ti plasmid as exemplified by the pBIN19
15 shuttle vector described by Bevan, *Nucleic Acids Research* (1984) 12:8711-8721 and the non-oncogenic Ti plasmid PAL4404 described by Hoekma, *et al.*, *Nature* (1983)
20 303:179-180. Some of these vectors are commercially available.

There are two common ways to transform plant cells with *Agrobacterium*: cocultivation of *Agrobacterium* with cultured isolated protoplasts, or transformation of intact cells or tissues with *Agrobacterium*. The first requires an established culture system that allows for culturing protoplasts and subsequent plant regeneration from
25 cultured protoplasts. The second method requires (a) that the intact plant tissues, such as cotyledons, can be transformed by *Agrobacterium* and (b) that the transformed cells or tissues can be induced to regenerate into whole plants.

Most dicot species can be transformed by *Agrobacterium* as all species which are a natural plant host for *Agrobacterium* are transformable *in vitro*.

30 Monocotyledonous plants, and in particular, cereals, are not natural hosts to *Agrobacterium*. Attempts to transform them using *Agrobacterium* have been unsuccessful until recently (Hooykas-Van Slogteren *et al.*, *Nature* (1984) 311:763-764). However, there is growing evidence now that certain monocots can be

transformed by *Agrobacterium*. Using novel experimental approaches cereal species such as rye (de la Pena, *et al.*, *Nature* (1987) 325:274-276), maize (Rhodes *et al.*, *Science* (1988) 240:204-207), and rice (Shimamoto, *et al.*, *Nature* (1989) 338:274-276) may now be transformed.

- 5 As described above, the identification of transformed cells or plants is generally accomplished by including a selectable marker in the transforming vector, or by obtaining evidence of successful bacterial infection.

Regeneration of Transformed Plants

- 10 Plant cells that have been transformed to contain a PKS encoding sequence can also be regenerated using known techniques. For example, plant regeneration from cultured protoplasts is described in Evans *et al.*, *Handbook of Plant Cell Cultures*, Vol. 1: (MacMillan Publishing Co. New York, 1983); and Vasil I.R. (ed.), *Cell Culture and Somatic Cell Genetics of Plants*, Acad. Press, Orlando, Vol. I, 1984, 15 and Vol. II, 1986). It is known that practically all plants can be regenerated from cultured cells or tissues, including but not limited to, all major species of sugarcane, sugar beet, cotton, fruit trees, and legumes.

Means for regeneration vary from species to species of plants, but generally a suspension of transformed protoplasts or a petri plate containing transformed explants 20 is first provided. Callus tissue is formed and shoots may be induced from callus and subsequently rooted. Alternatively, somatic embryo formation can be induced in the callus tissue. These somatic embryos germinate as natural embryos to form plants. The culture media will generally contain various amino acids and plant hormones, such as auxin and cytokinins. It is also advantageous to add glutamic acid and proline 25 to the medium, especially for such species as corn and alfalfa. Efficient regeneration will depend on the medium, on the genotype, and on the history of the culture. If these three variables are controlled, then regeneration is usually reproducible and repeatable.

- 30 Using the foregoing procedures, a wide variety of plants can be transformed and regenerated. Illustrated below are arabidopsis and tobacco. Other useful species are peas, peppers, petunias, corn, wheat, and cotton. The list is nonlimiting, and any desired higher plant can be utilized as a host.

The following examples are intended to illustrate but not to limit the invention.

Example 1

5

Construction of Expression Vectors for 6-MSAS

A synthetic polylinker was designed to facilitate cloning of polyketide synthase genes into the expression vector pBI121. This polylinker contains SacI, BamHI, NdeI, XbaI, EcoRI, AvrII, SpeI, SnaBI, and Asp718 restriction sites:

10 5'-CGGATCCATATGAACCTCTAGAGAATTAGACTAGTCCTAGGTACGTAG-3'
3'-TCGAGCCTAGGTATACTTGGAGATCTCTTAAGTATCTGATCAGGATCCATGCATCCATG-
5'

This polylinker was cloned into the SacI/Asp718 sites of pBlueScript
15 (Stratagene) to produce the cloning vector 131a.
A synthetic polylinker having BamHI, AvrII, SpeI, and SnaBI restriction sites
was designed:

5'-GATCCATCCACTAGTCCTAGGTAC-3'
20 3'-TAGGTGATCAGGATCCATG-5'

This polylinker was cloned into the BamHI/SnaBI sites of pBI121 (Clontech)
to produce the expression vector 131b.

A 5.5-kbp NdeI/XbaI fragment containing the gene for the *Penicillium patulum* 6-methylsalicylic acid synthase was isolated from pDB102 (isolated from *E. coli* SCS110) and cloned into the NdeI/XbaI sites of 131a. A BamHI/SpeI fragment from this intermediate plasmid was cloned into the BamHI/SpeI sites of 131b to give expression vector 131c which contains an expression system for 6-MSAS.

30

Example 2Construction of an Expression Vector for eryA-1

An 11.2-kbp NdeI/EcoRI fragment containing the eryAI gene from *Saccharopolyspora erythraea* fused with the DEBS thioesterase (Kao, C.M., et al., J Am Chem Soc (1995) 117:9105-06) was isolated from pCJT75 and cloned into the NdeI/EcoRI sites of vector 131a. A BamHI/AvrII fragment from this intermediate plasmid was cloned into the BamHI/AvrII sites of 131b to give expression vector 131d for eryA-1.

10

Example 3Construction of an Expression Vector for grsA

An X-kbp NcoI/HindIII fragment containing the grsA gene from *Bacillus brevis* was isolated from pQE60-PheAT and cloned into the NcoI/HindIII sites of 131a. A XbaI/SpeI fragment from this intermediate plasmid was cloned into the XbaI/SpeI sites of 131b to give expression vector 131e for grsA.

Example 4Transformation of Arabidopsis using Vacuum InfiltrationPlant Growth:

20 1. Grow plants of the appropriate genotype to a stage at which bolts are just emerging.

Preferably, from 12-15 plants are grown in a 3.5" pot. The pot can be covered with nylon window screen after planting. Plants will grow through the screen so that when pot is inverted for infiltration less dirt falls out.

25

2. Clip off emerging bolts to encourage growth of multiple secondary bolts.

Emerging bolts are clipped off, for example by using scissors, to stimulate growth of multiple secondary bolts.

30

Vacuum Infiltration:

3. A liquid culture of *Agrobacterium* carrying the appropriate PKS expression vectors, such as 131c, 131d or 131e, is grown overnight. For example, a

25 ml overnight (LB + antibiotics) is started two to three days before the vacuum infiltration procedure is to be performed and is used as a starter culture. This culture is then added to 400ml of LB + antibiotic the day before infiltration.

4. After growth for approximately 24 hours, the cells are harvested by
5 centrifugation (for example using 5K rpm for 10 min. in GSA rotor, preferably at room temp.) and resuspended in 3 volumes infiltration medium (OD600 approx. 0.8). [Preferably, the cells are first resuspended in a small volume and dilute to give an OD600 of ~0.8.] About 2 liters of bacteria are needed to fill a vacuum tank which is set up to transform a flat of Arabidopsis.

10 5. Add *Agrobacterium* (in infiltration medium: 1/2 X Murashige & Skoog salts, or MS salts + organics; 1 X B5 vitamins; 5.0% Sucrose; .044 μ M Benzylamino Purine (10 μ l per liter of a 1 mg/ml stock in DMSO)) to a dish or beaker and invert plants (pot, soil, and all) into liquid solution (submerge the bolts and entire rosettes in the infiltration media).

15 6. Place beaker into bell jar. Draw a vacuum until bubbles form on leaf and stem surface and solution starts to bubble a bit, then release vacuum very rapidly.

The necessary time and vacuum pressure will vary lab-to-lab. Good infiltration is visibly apparent as uniformly darkened, water-soaked tissue.

7. Remove plants from beaker and cover with plastic wrap or a dome to
20 maintain humidity. The next day, uncover plants.

Cover with large humidity dome until the evening to protect the plants from direct light immediately after the infiltration. Remove the humidity dome as soon as possible to minimize growth of saprophytes

8. Grow approximately four weeks, keeping bolts from each pot together
25 and separated from neighboring pots.

Selection of Transformants:

9. When siliques on plants are very dry, harvest seed (all seed from one pot together).

30

For Kanamycin selection:

10. Pour selection plates (1/2 X Murashige & Skoog salts; 0.8% Agar; Autoclave, cool, then add: 1 X B5 vitamins; Antibiotic (such as Km 50 μ g/ml); Plastic

150 x 15 mm petri dishes are convenient (Murashige, T., *et al.*, (1962) *Physiologia Plantarum* 15:473-497)).

11. Sterilize seed. A variety of sterilization protocols can be used. For example: a 1 minute in ethanol or isopropanol, followed by 5 minutes in 50%
5 Bleach/50% water/0.05% Tween and then 3 rinses with sterile water.

12. Plate seed by resuspending in sterile, room temperature 0.1% agarose and spreading onto selection plates. Dry plates in laminar flow hood until seed no longer flows when plate is tipped. Use one ml agarose for every 500-1000 seed.

Plate 2000 to 4000 seed per 150 x 15 mm plate. Higher densities can make
10 antibiotic selection less effective.

13. Vernalize plates for two nights in cold room. Move plates to growth chamber.

14. After about 7 days, transformants should be clearly identifiable as dark green plants with healthy green secondary leaves and roots that extend over and into
15 the selective medium.

15. Transplant plantlets to soil, grow, and collect seed. Transplanting success is improved by breaking up agar around root prior to pulling, by removing any adhering chunks of agar from root before planting, by saturation of soil with water after transplanting, and by growing plants under a dome (for high humidity) for
20 the first day or two. If the root is broken, put plantlet onto a new selection plate for a few days before transplanting.

Example 5

Construction of Additional Expression Vectors

25 A 700 bp NcoI/XbaI fragment containing the coding sequence for Sfp from *Bacillus subtilis* was isolated from pKOS018-88A. This fragment was ligated with a 4.1 kbp NcoI-XbaI fragment containing a pUC replicon, ampicillin resistance gene, an e35S promoter (Kay, R., *et al.*, *Science* (1987) 236:1299-1302) and Cab22L leader ending at the NcoI site and a nopaline synthase 3' fragment beginning at the XbaI site.
30 The resulting expression vector pBH1000 contains a chimeric e35S-Sfp-nos3' gene.

A 1.7 kbp BamHI/BglII fragment containing the chimeric e35S-Sfp-nos3' gene was isolated from pBH1000. This fragment was inserted into the BamHI site of pAR4741, which contains a pACYC184 replicon (Chang, A.C.Y., *et al.*, *J Bacteriol*

(1979) 134:1141-1156), a pVS1 replicon (Itoh, Y., *et al.*, *Plasmid* (1984) 11:206-220), the *tetA* gene of Tn1721 (Waters, S. H., *et al.*, *Nucleic Acids Research* (1983) 11:6089-6105), and T-DNA border fragments (van den Elzen, P., *et al.*, *Plant Mol Biol* (1985) 5:149-154) surrounding a mutated form of acetolactate synthase from tobacco (*surB*, Lee, K., *et al.*, *EMBO J* (1988) 7:1241-1248), cloning sites and the lacZalpha gene fragment. The resulting binary vector pBH1001 contains the chimeric e35S-Sfp-nos3' gene, and retains a single BamHI site for further DNA fragment insertion.

An 11.2 kbp NdeI/AvrII fragment containing the entire coding sequence of the DEBS1+TE (the eryAI coding sequenced fused with the coding sequence for the DEBS thioesterase (Kao, C.M., *et al.*, (1995), *supra*) was isolated from pKOS018-97. This fragment was ligated with a 4.2 kbp NdeI/XbaI fragment containing a pUC replicon, ampicillin resistance gene, an e35S promoter and Cab22L leader ending at the NdeI site and an octopine synthase 3' fragment (DeGreve, H., *et al.*, *J Mol Appl Genet* (1983) 1:499-513) beginning at the XbaI site. The resulting plasmid pBH1006 contains a chimeric e35S-DEBS1+TE-ocs3' gene.

A 12.6 kbp BamHI/BglII fragment containing the chimeric e35S-DEBS1+TE-ocs3' gene was isolated from pBH1006. This fragment was inserted into the BamHI site of pBH1001, which already contains a chimeric e35S-Sfp-nos3' gene between the T-DNA borders. The resulting binary vector pBH1008 contains both the e35S-Sfp-nos3' and e35S-DEBS1+TE-ocs3' chimeric genes.

A 14.2 kbp NdeI (blunt-ended)/BglII fragment containing a pUC replicon and ampicillin resistance gene ending at the BglII site and the DEBS1+TE coding sequence linked to the ocs3' end starting at the NdeI site, was isolated from pBH1006. This fragment was ligated to a 1 kbp SphI (blunt-ended)/BglII fragment containing the e35S-Cab22L leader-chloroplast transit peptide (ctp) sequence isolated from pER5526. The resulting plasmid pBH1009 contains a chimeric e35S-ctp-DEBS1+TE-ocs3' gene.

A 12.9 kbp BamHI/BglII fragment containing the e35S-ctp-DEBS1+TE-ocs3' chimeric gene was isolated from pBH1009. This fragment was inserted into the BamHI site of pAR4741. The resulting binary vector pBH1011 contains the chimeric e35S-ctp-DEBS1+TE-ocs3' gene, and retains a BamHI site for further fragment insertion.

A 3.9 kbp NcoI (blunt-ended)/BglII fragment containing the pUC replicon and ampicillin resistance gene and the Sfp coding sequence linked to the nos3' end starting at the NcoI site was isolated from pBH1000. This fragment was ligated to a 1 kbp SphI (blunt-ended)/BglII fragment containing the e35S-Cab22L leader-ctp sequence 5 isolated from pER5526. The resulting plasmid pBH1010 contains a chimeric e35S-ctp-Sfp-nos3' gene.

A 2.0 kbp BamHI/BglII fragment containing the chimeric e35S-ctp-Sfp-nos3' gene was isolated from plasmid pBH1010. This fragment was inserted into the BamHI site of pAR4741. The resulting binary vector pSG5578 contains the chimeric 10 e35S-ctp-Sfp-nos3' gene, and retains a BamHI site for further fragment insertion.

A 2.0 kbp BamHI/BglII fragment containing the chimeric e35S-ctp-Sfp-nos3' gene is isolated from plasmid pBH1010. This fragment is inserted into the BamHI site of pBH1011. The resulting binary vector pBH1012 contains the e35S-ctp-DEBS1+TE-ocs3' and the e35S-ctp-Sfp-nos3' chimeric genes, and retains a BamHI 15 site for further fragment insertion.

A 5.5 kbp NdeI/XbaI fragment containing the MSAS coding sequence from *Penicillium patulum* was isolated from pKOS12-71d. This fragment was ligated to an NdeI/XbaI fragment containing the pUC replicon and ampicillin resistance gene, the e35S-cab22L leader ending at the NdeI site and the ocs3' end beginning at the XbaI 20 site. The resulting plasmid pSG5540 contains a chimeric e35S-MSAS-ocs3' gene.

A 6 kbp NdeI/XbaI fragment containing a sequence that encodes a fusion protein of the MSAS protein from *Penicillium patulum* and the Sfp protein from *Bacillus subtilis* was isolated from pKOS14-69. This fragment was ligated to an NdeI/XbaI fragment containing the pUC replicon and ampicillin resistance gene, the 25 e35S-cab22L leader ending at the NdeI site and the ocs3' end beginning at the XbaI site. The resulting plasmid pSG5541 contains a chimeric e35S-MSASSfp-ocs3' gene.

The e35S-MSAS-ocs3' chimeric gene was inserted into binary vector pWTT2144, between the HindIII and KpnI sites. Two separate fragments were isolated from pSG5540; one was a 5.1 kbp HindIII/AatII fragment and the other was a 30 1.95 kbp AatII/KpnI fragment. These fragments were ligated with the HindIII/KpnI digested pWTT2144. The resulting binary vector pSG5574 contains a chimeric e35S-MSAS-ocs3' gene.

The e35S-MSASSfp-ocs3' chimeric gene was inserted into binary vector pWTT2144, between the HindIII and KpnI sites. Two separate fragments were isolated from pSG5541; one was a 5.1 kbp HindIII/AatII fragment, and the other was a 2.5 kbp AatII/KpnI fragment. These fragments were ligated with the HindIII/KpnI digested pWTT2144. The resulting binary vector pSG5575 contains a chimeric e35S-MSASSfp-ocs3' gene.

A 5.5 kbp NdeI (blunt-ended)/XbaI fragment containing the MSAS coding sequence was isolated from plasmid pSG5540. This was ligated to an SphI (blunt-ended)/XbaI fragment containing the pUC replicon and ampicillin resistance gene, the e35S-cab22L leader-ctp sequence ending at the SphI site and an ocs3' end beginning at the XbaI site. The resulting plasmid pSG5581 contains a chimeric e35S-ctp-MSAS-ocs3' gene.

A 6.0 kbp NdeI (blunt-ended)/XbaI fragment containing the MSASSfp coding sequence was isolated from plasmid pSG5541. This was ligated to an SphI (blunt-ended)/XbaI fragment containing the pUC replicon and ampicillin resistance gene, the e35S-cab22L leader-ctp sequence ending at the SphI site and an ocs3' end beginning at the XbaI site. The resulting expression vector pSG5582 contains a chimeric e35S-ctp-MSASSfp-ocs3' gene.

The e35S-ctp-MSAS-ocs3' chimeric gene was inserted into binary vector pWTT2144, between the HindIII and KpnI sites. Two separate fragments were isolated from pSG5581; one was a 5.1 kbp HindIII/AatII fragment and the other was a 1.90 kbp AatII/KpnI fragment. These fragments were ligated with the HindIII/KpnI digested pWTT2144. The resulting binary vector pSG5583 contains a chimeric e35S-ctp-MSAS-ocs3' gene.

The e35S-ctp-MSASSfp-ocs3' chimeric gene was inserted into binary vector pWTT2144, between the HindIII and KpnI sites. Two separate fragments were isolated from pSG5592; one was a 5.1 kbp HindIII/AatII fragment, and the other was a 2.5 kbp AatII/KpnI fragment. These fragments were ligated with the HindIII/KpnI digested pWTT2144. The resulting binary vector pSG5584 contains a chimeric e35S-ctp-MSASSfp-ocs3' gene.

Example 6Transformation of Tobacco Suspension CulturesEstablishment of Cultures:

Sterile tobacco plants (variety Petite Havana) were grown as shoot tip cultures
5 on hormone-free Murashige and Skoog (MS) medium. Leaf disks were taken from
these plants and inoculated onto agar-solidified MS media (1% agar) supplemented
with 2 mg/l indolacetic acid (IAA) and 0.5 mg/l Benzylaminopurine (BA). Petri
dishes with the leaf disks were incubated at 28°C under lights with a 16 hour
photoperiod for 3 weeks during which time undifferentiated cells (callus) formed on
10 the cut edges. The callus was removed from the disks and transferred to liquid MS
media with 0.2 mg/l 2,4-D as the only phytohormone.

These cell suspension cultures were incubated in the same conditions as the
leaf disks but with shaking at 130RPM. In these conditions the cultures grew as
single cells and small cell clusters (mostly consisting of less than 100 cells) with a
15 doubling time of about 2 days and were transferred weekly by adding about 2 ml
settled cell volume (SCV) of cells to 50 ml of the liquid medium. The cultures could
also be grown with 2 mg/l IAA and 0.5 mg/l BA, however under these conditions the
suspensions grew much more slowly and consisted mostly of large cell aggregates.

20 Transformation of Suspension Cultures:

Suspension cultures are an ideal system for feeding chemical precursors and
understanding how enzymes transform the precursors in plant cells.

The suspensions were transformed by cocultivation with an *Agrobacterium*
tumefaciens strain carrying a binary plasmid which comprises the expression system
25 gene for a PKS, e.g., the gene encoding the DEBS1-thioesterase, preferably along
with an expression system for holo-ACP synthase, and also a selectable marker gene
such as the ALS gene which provides resistance to the herbicide chlorsulfuron.

Transformation was done by mixing the *Agrobacterium* cells with the
suspension cells in liquid medium. Cocultivation and selection is done in liquid
30 medium by continuing to shake the *Agrobacterium*/tobacco cell mixture as before, but
at 24°C in darkness, or on solid medium by collecting the mixture on filter paper and
transferring the filter paper with the cocultivating tobacco cells to solid media of the

same composition as was used for the tobacco leaf disks. In either case, 100 μ M Acetosyringone was added to the cocultivation media.

Selection for the transformed cells and counterselection to purge the cultures of the *Agrobacteria* is done by adding 50 μ g/l chlorsulfuron and 500mg/l carbenicillin to the same formulation of liquid or solid media as was used for cocultivation. In the case of the liquid transformation, the majority of the bacteria are rinsed away with fresh media prior to resuspension in the herbicide and antibiotic-containing media. In the case of solid transformations, the transformed callus is transferred into liquid media with or without selection and counterselection to re-establish suspension cultures.

Transformed (herbicide-resistant) cell suspensions containing the gene of interest were ready for analysis and/or feeding studies following 4-5 weeks of selection and counterselection. It is assumed that the transformed suspensions consist of a mixture of transformation events in which the T-DNA has integrated at multiple different random loci. These transformed suspensions can be used to produce polyketides.

Using the above procedure, plant cells modified to contain the expression system of pBH1008 of Example 5 were obtained. pBH1008 contains separate expression systems for DEBS1+TE and for Sfp, each under control of the 35S promoter.

Similarly, using the same procedure, but substituting for pBH1008, pBH1012, plant cells are transformed so as to comprise expression systems for DEBS1+TE and Sfp targeted to the chloroplast.

25

Example 7

Transformation Protocol to Introduce Polyketide Synthase Genes into Tobacco

The source of leaf explants was *in vitro* grown tobacco plants (cv Petite Havana) cultured on TCMA medium (1/2 MS salts, B5 vitamins, 100 mg/l m-inositol, 600 mg/l MES, 20 g/l sucrose, pH 5.6 and solidified with 7 g/l TC agar) at 27°C in 16 hr light. After removing the midrib from leaves they were cut into explants ca. 2 x 2 mm. Explants were floated on minAsuc medium containing an overnight culture of LBA4404 cells diluted to 5 x 10⁷ cells/ml and containing the appropriate binary vector. After several minutes explants were transferred to plates containing TCMA

basal medium supplemented with the following; 0.5 mg/l BAP, 2 mg/l IAA and 100 μ M acetosyringone. Explants were cocultivated on this medium, overlayed with filter paper discs, at 24°C/dark for two days. Explants were then transferred to TCMA basal medium supplemented with the following; 0.5 mg/l BAP, 2 mg/l IAA, 25 μ g/l chlorsulfuron (or 25 mg/l geneticin) and 250 mg/l carbenecillin. The explants were cultured under the same conditions as the in vitro shoots and after 3 weeks transgenic shoots had formed on most of the explants.

Using the above procedure, plants modified to contain the expression systems of pSG5574, pSG5575 ,and pBH1008 of Example 5 were obtained. pSG5574 contains an expression system for MSAS under control of the 35S promoter. pSG5575 contains an expression system for fusion protein between MSAS and Sfp under control of the 35S promoter.

Using the same procedure, but substituting for the above-named plasmids, pSG5584, which contains an expression system for the MSAS-Sfp fusion under control of the 35S promoter along with a ctp sequence, plants whereby the fusion protein is directed to the chloroplast are obtained. Similarly, cotransformation with pSG5578 (containing an expression system for Sfp) and pSG5583 (containing an expression system for MSAS) provides plants containing these proteins in the chloroplasts, thus permitting production of polyketides.

Claims

1. Plant cells, plant parts or plants modified to contain at least one expression system effective to produce at least a minimal aromatic polyketide synthase (PKS), wherein said minimal aromatic PKS comprises a ketosynthase/acyl transferase (KS/AT) catalytic region, a chain-length factor (CLF) catalytic region and an acyl carrier protein (ACP) activity.

2. The plant cells, plant parts or plants of claim 1 which have further been modified to contain at least one expression system effective to produce a holo-ACP synthase.

3. The plant cells, plant parts or plants of claim 2 wherein the expression system for PKS and the expression system for holo-ACP synthase are on separate vectors.

4. The plant cells, plant parts or plants of claim 2 wherein the expression system for PKS and the expression system for holo-ACP synthase are on the same vector.

20

5. The plant cells, plant parts or plants of claim 2 wherein the expression system for PKS and the expression system for holo-ACP synthase comprise an expression system for a fusion protein comprising said PKS and said holo-ACP synthase.

25

6. The plant cells, plant parts or plants of claim 1, wherein said KS/AT encoding nucleotide sequence, CLF encoding nucleotide sequence, and ACP encoding nucleotide sequence are derived from the same aromatic polyketide synthase (PKS).

30

7. The plant cells, plant parts or plants of claim 1 wherein at least one of said KS/AT encoding nucleotide sequence, CLF encoding nucleotide sequence, and ACP encoding nucleotide sequence is derived from a different aromatic polyketide synthase (PKS).

5

8. The plant cells, plant parts or plants of claim 1 which further contain an expression system for a cell-based detection system for a polyketide.

9. A plant cell, plant part or plant of claim 2 which further contains an
10 expression system for a cell-based detection system for a polyketide.

10. A plant cell, plant part or plant modified to contain at least one expression system effective to produce at least a minimal modular polyketide synthase (PKS), wherein said minimal PKS comprises a KS catalytic region, an AT
15 catalytic region, and an ACP activity.

11. The plant cells, plant parts or plants of claim 10 which have further been modified to contain at least one expression system effective to produce a holo-ACP synthase.

20

12. The plant cells, plant parts or plants of claim 11 wherein the expression system for PKS and the expression system for holo-ACP synthase are on separate vectors.

25 13. The plant cells, plant parts or plants of claim 11 wherein the expression system for PKS and the expression system for holo-ACP synthase are on the same vector.

14. The plant cells, plant parts or plants of claim 11 wherein the expression system for PKS and the expression system for holo-ACP synthase comprise an expression system for a fusion protein comprising said PKS and said holo-ACP synthase.

5

15. The plant cell, plant part or plant of claim 10, wherein said modules of a PKS are derived from different polyketide synthases.

16. The plant cell, plant part or plant of claim 10, wherein said nucleotide sequence encoding at least one module further contains a nucleotide sequence encoding a KR activity; or

wherein the nucleotide sequence encoding at least one module encodes a KR and DH activity; or

15 15 wherein said nucleotide sequence encoding at least one module encodes a KR, DH and ER activity; and/or

wherein said nucleotide sequence encoding at least one module encodes a thioesterase (TE) activity.

17. The plant cells, plant parts or plants of claim 10 which further contain 20 an expression system for a cell-based detection system for a polyketide.

18. The plant cells, plant parts or plants of claim 11 which further contain an expression system for a cell-based detection system for a polyketide.

25 19. Plant cells, plant parts or plants modified to contain at least one expression system effective to produce at least a minimal fungal polyketide synthase (PKS) wherein said minimal PKS comprises a ketosynthase/acyl transferase (KS/AT) catalytica region, and an acyl carrier protein (ACP) activity.

20. The plant cells, plant parts or plants of claim 19 which have further been modified to contain at least one expression system effective to produce a holo-ACP synthase.

5 21. The plant cells, plant parts or plants of claim 20 wherein the expression system for PKS and the expression system for holo-ACP synthase are on separate vectors.

10 22. The plant cells, plant parts or plants of claim 20 wherein the expression system for PKS and the expression system for holo-ACP synthase are on the same vector.

15 23. The plant cells, plant parts or plants of claim 20 wherein the expression system for PKS and the expression system for holo-ACP synthase comprise an expression system for a fusion protein comprising said PKS and said holo-ACP synthase.

20 24. The plant cells, plant parts or plants of claim 19, wherein said KS/AT encoding nucleotide sequence, and ACP encoding nucleotide sequence are derived from the same fungal polyketide synthase.

25 25. The plant cells, plant parts or plants of claim 19 wherein the KS/AT encoding nucleotide sequence, and the ACP encoding nucleotide sequence are derived from different fungal polyketide synthases.

25

26. The plant cells, plant parts or plants of claim 19 which further contain an expression system for a cell-based detection system for a polyketide.

27. A plant cell, plant part or plant of claim 20 which further contains an expression system for a cell-based detection system for a polyketide.

28. A method to produce a functional polyketide synthase which method
5 comprises culturing the plant cells, plant parts or plants of claim 2 under conditions wherein said functional PKS is produced.

29. A method to produce a functional polyketide synthase which method
comprises culturing the plant cells, plant parts or plants of claim 11 under conditions
10 wherein said functional PKS is produced.

30. A method to produce a functional polyketide synthase which method
comprises culturing the plant cells, plant parts or plants of claim 20 under conditions
wherein said functional PKS is produced.

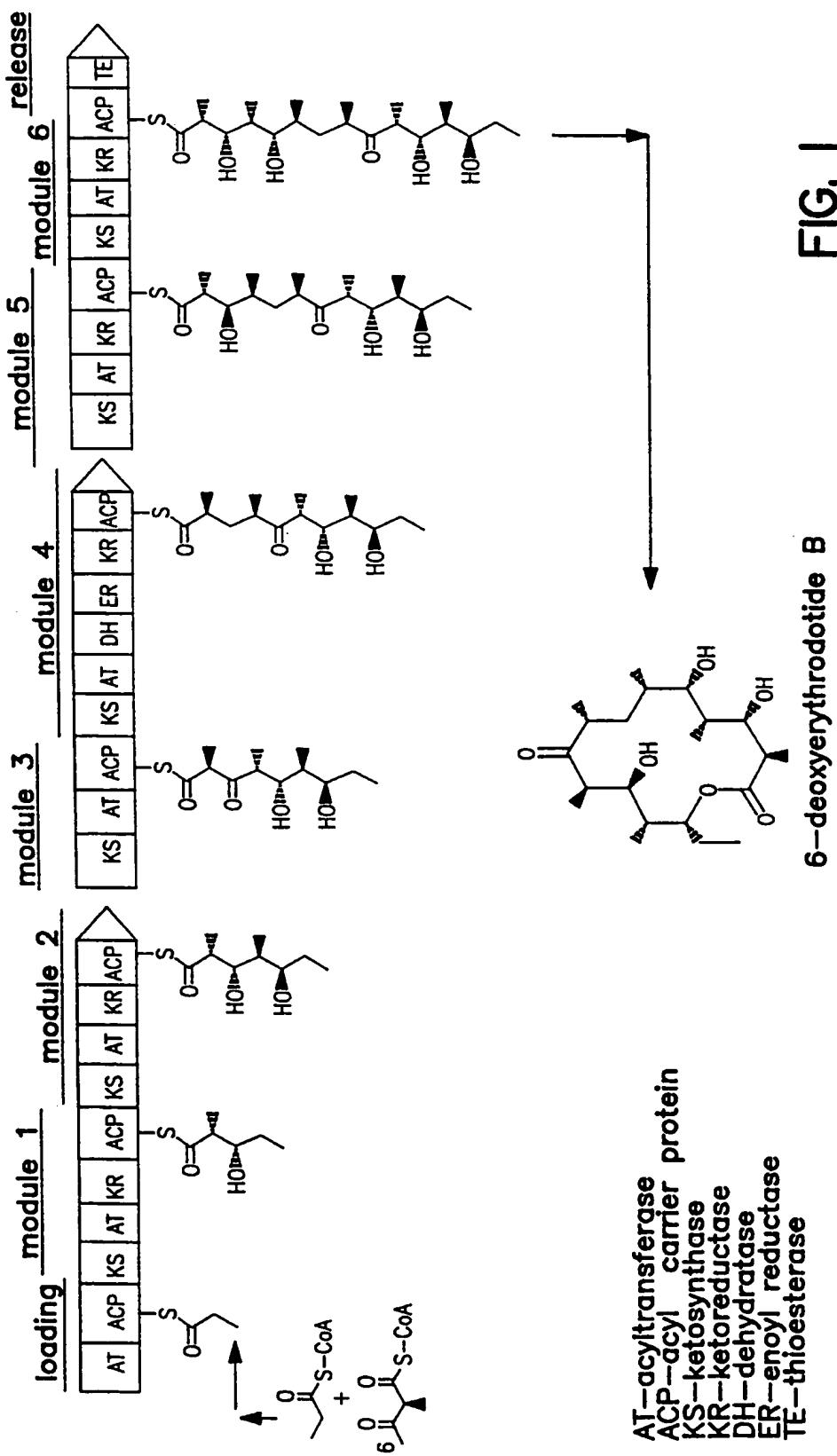
15

31. A method to produce a polyketide which method comprises culturing
the plant cells, plant parts or plants of claim 2 under conditions wherein said
polyketide is produced.

20 32. A method to produce a polyketide which method comprises culturing
the plant cells, plant parts or plants of claim 11 under conditions wherein said
polyketide is produced.

25 33. A method to produce a polyketide which method comprises culturing
the plant cells, plant parts or plants of claim 20 under conditions wherein said
polyketide is produced.

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**FIG. I**

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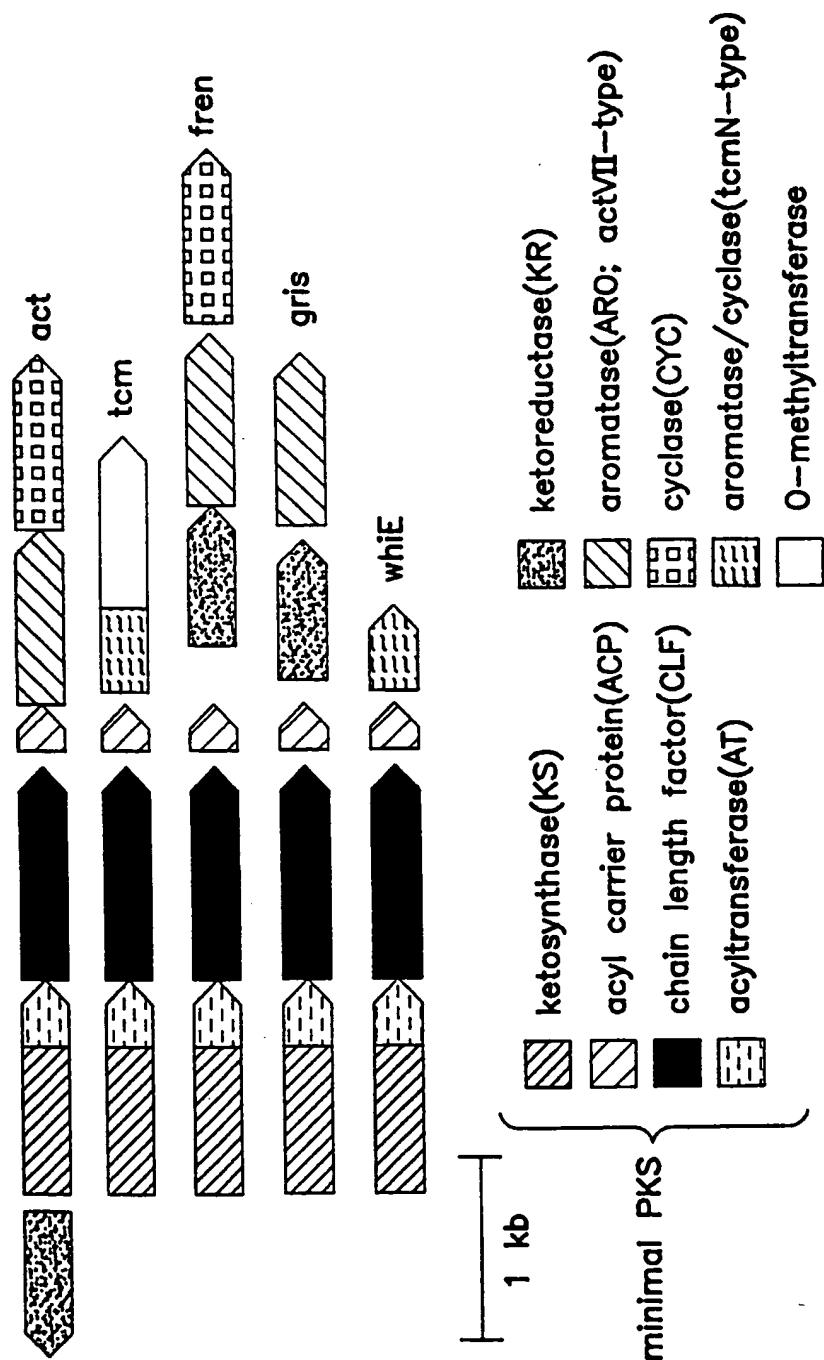


FIG. 2

3/3

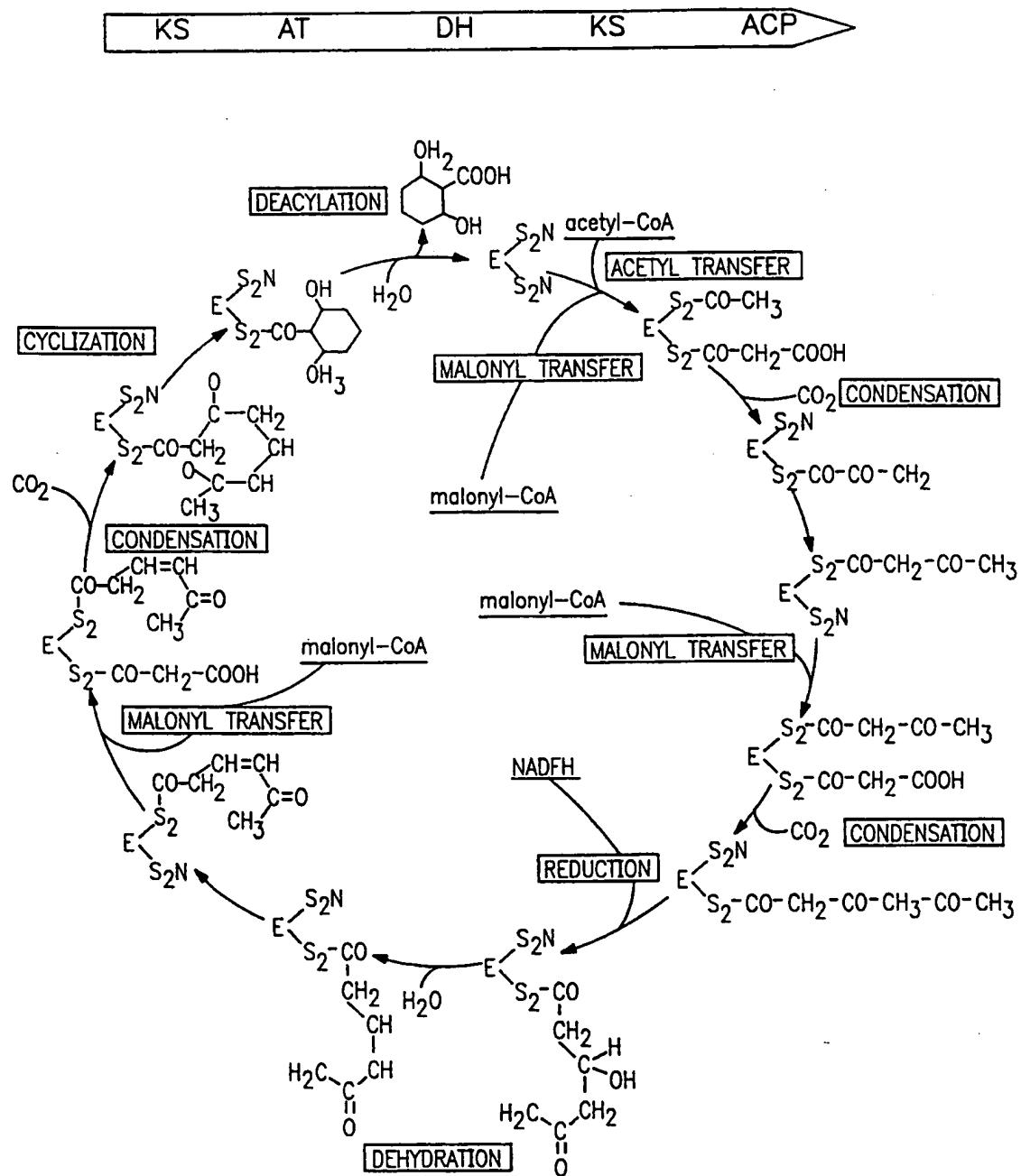


FIG. 3

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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C12N 15/52, 15/82, 9/12, A01H 3/00, C12P 17/08		A3	(11) International Publication Number: WO 99/02669 (43) International Publication Date: 21 January 1999 (21.01.99)
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(71) Applicant: KOSAN BIOSCIENCES, INC. [US/US]; 1450 Rollins Road, Burlingame, CA 94010 (US).			
(72) Inventor: BETLACH, Mary, C.; 1450 Rollins Road, Burlingame, CA 94010 (US).			
(74) Agents: CIOTTI, Thomas, E. et al.; Morrison & Foerster LLP, 2000 Pennsylvania Avenue, N.W., Washington, DC 20006-1888 (US).			
(54) Title: PRODUCTION OF POLYKETIDES IN PLANTS			
(57) Abstract			
<p>The present invention provides genetically altered plants and plant cells that have been modified to contain expression system(s) capable of expressing a functional polyketide synthase (PKS). The present invention further provides methods of producing PKS and polyketides using these plants and cells.</p>			

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INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 98/14570

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C12N15/52 C12N15/82 C12N9/12 A01H3/00 C12P17/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

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Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 33818 A (LIGON JAMES MADISON ;CIBA GEIGY AG (CH); SCHUPP THOMAS (CH); BECK) 14 December 1995 see page 12, paragraph 3 - page 14, paragraph 1; figure 7; example 42 ---	1,6
Y	WO 97 13845 A (UNIV CALIFORNIA SAN FRANCISCO ;HARVARD COLLEGE (US)) 17 April 1997 see page 14, paragraph 2; claims 1-39 ---	2-5,7-9, 28-31
Y	WO 96 40968 A (UNIV LELAND STANFORD JUNIOR ;JOHN INNES CENTRE (GB)) 19 December 1996 cited in the application see claims 1-36 ---	2-5, 28-31
Y	WO 96 40968 A (UNIV LELAND STANFORD JUNIOR ;JOHN INNES CENTRE (GB)) 19 December 1996 cited in the application see claims 1-36 ---	7 -/-

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Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

International Application No

PC, JS 98/14570

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 283 173 A (FIELDS STANLEY ET AL) 1 February 1994 cited in the application see claims 1-9 ---	8,9
A	POST-BEITTEMILLER M ET AL: "EXPRESSION OF HOLO AND APO FORMS OF SPINACH ACYL CARRIER PROTEIN-1 IN LEAVES OF TRANSGENIC TOBACCO PLANTS" PLANT CELL, vol. 1, no. 9, 1989, pages 889-900, XP002082264 see page 890, right-hand column, paragraph 3 - page 892, left-hand column, paragraph 1 ---	2-5
A	APARICIO J F ET AL: "Organization of the biosynthetic gene cluster for rapamycin in Streptomyces hygroscopicus: analysis of the enzymatic domains in the modular polyketide synthase" GENE, vol. 169, no. 1, 22 February 1996, page 9-16 XP004042980 see the whole document -----	1,6

INTERNATIONAL SEARCH REPORTInt'l. application No.
PCT/US 98/14570**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-9,28,31

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-9 28 31

Plant cells, plant parts or plant modified with an expression system for the production of aromatic polyketide synthase , method for the production of functional polyketide synthase

2. Claims: 10-18 29 32

Plant cells, plant parts or plant modified with an expression system for the production of modular polyketide synthase , method for the production of functional polyketide synthase

3. Claims: 19-27 30 33

Plant cells, plant parts or plant modified with an expression system for the production of fungal polyketide synthase , method for the production of functional polyketide synthase

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/14570

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